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## Regulatory Compliance

RLR provides our clients with technical and operational expertise to determine adherence with regulatory guidelines. RLR performs a detailed audit and/or review of compliance structure and functions, compliance materials, the process for disseminating compliance information and looks at the methods for validating compliance efforts. Our audit and/or reviews include, but is not limited to, the following:

- Regulation D – Alternative Mortgage Transaction Party
- Regulation E – Electronic Funds Transfer
- Regulation CC – Funds Availability
- Regulation DD – Truth in Savings
- BSA / AML / OFAC
- Cybersecurity Awareness
- FCRA
- GLBA
- Loan Compliance Audit
- S.A.F.E Act
- Sarbanes Oxley (SOX) 404 Testing
- Senior Management / Board Training
- TRID
- UDAAP